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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8769

KAUFFMAN, Steven V. , et al.

Appln. No.: 09/829,543

Group Art Unit: 2613

Confirmation No.: 8214

Examiner: Philippe, Gims S.

Filed: April 9, 2001

For: METHOD AND SYSTEM FOR SYNCHRONIZATION BETWEEN DIFFERENT  
CONTENT ENCODING FORMATS

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

<u>Serial No.</u>	<u>Applicant's Name</u>	<u>Filing Date</u>
09/829,584	Kauffman, Steven V. et al.	April 9, 2001

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/829,543

Attorney Docket # A8769

Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: September 8, 2004

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

Application Number	09/829,543
Confirmation Number	8214
Filing Date	April 9, 2001
First Named Inventor	KAUFFMAN, Steven V.
Art Unit	2613
Examiner Name	Philippe, Gims S.
Attorney Docket Number	A8769

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,504,552		01-07-2003	Phillips
		US 6,281,874		08-28-2001	Sivan et al.
		US 6,215,523		04-10-2001	Anderson
		US 6,211,869		04-03-2001	Loveman et al.
		US 6,029,194		02-22-2000	Tilt
		US 5,996,015		11-30-1999	Day et al.
		US 5,991,373		11-23-1999	Pattison et al.
		US 5,956,716		09-21-1999	Kenner et al.
		US 5,929,850		07-27-1999	Broadwin et al.
		US 5,884,056		03-16-1999	Steele
		US 5,825,892		10-20-1998	Braudaway et al.
		US 5,758,180		05-26-1998	Duffy et al.
		US 5,442,749		08-15-1995	Northcutt et al.
		US 5,237,648		08-17-1993	Mills et al.

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.